Docket No.: 3364P149

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

OH-KEE KWON, ET AL.

Art Group:

Application No.:

Examiner:

Filed:

For:

TUNABLE WAVELENGTH

SEMICONDUCTOR LASER DIODE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1-

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 10/70/03

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800

Substitute	for form 1449A/PTO)		C	Complete if Known		
INFORMATION DISCLOSURE				Application Number			
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STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor	Oh-Kee KWON		
				Art Unit			
				Examiner Name			
Sheet	1	of	2	Attorney Docket Number	3364P149		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-6,411,424 B1	06-25-2002	Raj		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
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Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number			
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STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor	Oh-Kee KWON		
				Art Unit			
				Examiner Name			
Sheet	2	of	2	Attorney Docket Number	er 3364P149		

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		B. PEZESHKI ET AL., "20-m W Widely Tunable Laser Module Using DFB Array and MEMS Selection", IEEE Photonics Tech Letters, Vol. 14, No. 10, October 2002		
		M. KAUER ET AL., "16-Channel Digitally Tunable Sub-Nanosecond Switching Time", Tunable and Multiwavelength Lasers 3.3.3		
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Examiner	Į D	Date
Signature	C	Considered

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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